PENNSYLVANIA STATE POLICE

TRANSMITTED FILE

SPECIFICATIONS

PREPARED BY:
Pennsylvania State Police
And
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COMMONWEALTH OF PENNSYLVANIA
PENNSYLVANIA STATE POLICE
TRANSMITTED FILE REQUIREMENTS

TABLE OF CONTENTS

COMMONWEALTH OF PENNSYLVANIA
PENNSYLVANIA STATE POLICE
TRANSMITTED FILE REQUIREMENTS

COMMONWEALTH OF PENNSYLVANIA
PENNSYLVANIA STATE POLICE
TRANSMITTED FILE REQUIREMENTS

1.   INTRODUCTION

Pennsylvania allows jurisdictions to FTP Pennsylvania Uniform Crime Reports (PAUCR) data files. Before a jurisdiction Transmits files to the PAUCR system that jurisdiction must make a request to the PAUCR administrator to be authorized for FTP. The procedures for this request can be obtained by contacting the PAUCR administrator.

This technical document was developed to provide computer vendors with guidelines to FTP files to the PAUCR system. It is designed to establish the criteria that will serve as a reference for computer vendors.

Within the following sections/subsections the term Transmitted represents UCR data files that have been sent to the PAUCR system using the FTP protocol.

The instructions and record layouts contained in this document focus on the <u>additional information</u> needed when a jurisdiction Transmits a file to the PAUCR system. The "Summary Files Upload Specification" and "PA IBR File Upload Specifications" contain the instructions and record layouts for Summary and Incident-Based upload files, respectively. These documents are available at the Download area of the PAUCR web-based system. End-user information on the File Upload process is available at the Help area of the PAUCR web-based system.

Jurisdictions submitting upload files via the PAUCR web-based upload page may disregard this document.

Any computer vendor may use this document, since it is hardware and software independent.

2.   PROCESS OVERVIEW

The Summary and Incident-Based processes are similar in some respects and differ in others. The Authorized Jurisdiction process takes advantage of the similarities so that minimal additional processing is required by the jurisdictions to create a Transmitted file.

Transmitted files must contain ASCII text data with the appropriate record formats as described in "Summary Files Upload Specification" and "PA IBR File Upload Specifications". The requirements to enable a file to contain data for other jurisdictions depend upon the type of submission – Summary or Incident-Based – see "Authorized Jurisdiction Submission Specifications" for more information.

The following sections cover the topics relevant to Transmitted file submissions. These topics are "Transmitted File Preparation" and "Results Files For Transmitted Files".

3.   TRANSMITTED FILE PREPARATION
File preparation for Transmitted submission files are covered in the following sections

3.1   SUMMARY FILE PREPARATION FOR TRANSMITTED FILES
An Upload file containing Summary data that is Transmitted to the PAUCR system must contain a Transmitting User record (see section 3.5). Currently only the Pennsylvania State Police is permitted to FTP files to the PAUCR system. Contact the UCR Administrator to determine the availability of FTP service for your agency.

3.2   INCIDENT-BASED FILE PREPARATION FOR TRANSMITTED FILES
An Upload file containing Incident-Based data that is Transmitted to the PAUCR system must contain a Transmitting User record (see section 3.5). Currently only the Pennsylvania State Police is permitted to FTP files to the PAUCR system. Contact the UCR Administrator to determine the availability of the FTP service for your agency.

3.3   INCIDENT-BASED MONTHLY SUBMISSION FOR TRANSMITTED FILES
The Complete IBR Monthly Submission record (CM) is provided to set the Juris Submission Month to a status of Complete. This will generate Summary UCR data for reporting and submission to the FBI (See section 3.5).

The Complete IBR Monthly Submission record (CM) should be sent after all Incidents and Group B Arrests, for a Juris Submission Month, have been SUCCESSFULLY submitted to the PAUCR system. This transaction will NOT work if an Incident or Group B Arrest is in an INCOMPLETE or ERROR status.

If you have SUCCESSFULLY submitted a Complete IBR Monthly Submission record (CM) and later have to Add or Modify an Incident or Group B Arrest for the Juris Submission Month, you will have to send another Complete IBR Monthly Submission record (CM) record when those transactions are SUCCESSFULLY submitted.

The Request IBR Monthly Submission Status record (RM) is provided to return the Status of the Juris Submission Month along with all Incidents and Group B Arrests for the month (See section 3.6).

3.4   UNIQUE NAME REQUIREMENT FOR TRANSMITTED FILES
When a file is uploaded using the PAUCR web-based upload page the same-named file can be uploaded successive times. This is possible because the web-based transfer logic uniquely renames each uploaded file (by adding UserId, Date, and Time to the filename) before storing it on the server. When files are Transmitted the user sending the file is responsible for uniquely naming files, within the filename standards

specified in the aforementioned "Summary Files Upload Specification" and "PA IBR File Upload Specifications" documents.

The application used to transmit files to the PAUCR system must listen for and respond negatively when the PAUCR server sends a "File already exists…" message so that like named files are NOT over-written. Some reasons for receiving a "File already exists…" message are: 1) Multiple files with the same name Transmitted within a one minute time period; 2) The PAUCR system is down.

The PAUCR system checks the FTP \In folder approximately every minute for new files. When a new FTP file is found it is removed from the FTP \In folder and staged for processing by the PAUCR system. Once the file is removed from the FTP \In folder you are free to FTP another file with the same file name.

3.5   TRANSMITTING USER RECORD

The PAUCR system uses the Transmitting User record to associate the file with an authorized system user. This record must be the first record in the file that is Transmitted to the PAUCR system. Section 3.5.1 details the format for the Transmitting User record and section 3.5.2 has an example of a Transmitting User record.

3.5.1   TRANSMITTING USER RECORD FORMAT

FIELD	POSITION	SIZE	DATA	COMMENT
RECORD TYPE	1	2	CHARACTER	"TU"
FIELD DELIMITER	3	1	CHARACTER	COMMA
USERID	4	13	CHARACTER	UCR USER ID
CARRIAGE RETURN	14	1	CHARACTER	HEX OD
LINE FEED	15	1	CHARACTER	HEX OA

- The Carriage Return and the Line Feed indicate the end of the record.

3.5.2   TRANSMITTING USER RECORD EXAMPLE

In this example the letter 'C' represents the non-printable HEX 0D and 'L' represents the non-printable HEX 0A for positions 14 and 15, respectively.

TU,UCR1234501CL

POSITION	VALUE	FIELD
1-2	TU	RECORD TYPE
3	,	FIELD DELIMITER
4-13	UCR1234501	USERID
14	C	CARRIAGE RETURN
15	L	LINE FEED

3.6   COMPLETE IBR MONTHLY SUBMISSION RECORD

The PAUCR system uses the Complete IBR Monthly Submission record to set a Juris Submission Month to a status of Complete. This record must immediately follow the Transmitting User record (TU) in the file that is Transmitted to the PAUCR system. Multiple Complete IBR Monthly Submission records (CM) for a single Jurisdiction may be included in a transmitted file. If a Complete IBR Monthly Submission records (CM) is included in a transmitted file no other record type, with the exception of a Transmitting User record (TU), may be included in the transmitted file. Section 3.6.1 details the format for the Complete IBR Monthly Submission record and section 3.6.2 has an example of a Transmitting User record.

3.6.1   COMPLETE IBR MONTHLY SUBMISSION RECORD FORMAT

FIELD	POSITION	SIZE	DATA	COMMENT
RECORD TYPE	1	2	CHARACTER	"CM"
FIELD DELIMITER	3	1	CHARACTER	COMMA
SUBMISSION MONTH	4	2	CHARACTER	MONTH
FIELD DELIMITER	6	1	CHARACTER	COMMA
SUBMISSION YEAR	7	4	CHARACTER	YEAR
FIELD DELIMITER	11	1	CHARACTER	COMMA
ORI NUMBER	12	9	CHARACTER	ORI NUMBER
CARRIAGE RETURN	21	1	CHARACTER	HEX OD
LINE FEED	22	1	CHARACTER	HEX OA

- The Carriage Return and the Line Feed indicate the end of the record.

3.6.2   COMPLETE IBR MONTHLY SUBMISSION STATUS EXAMPLE

In this example the letter 'C' represents the non-printable HEX 0D and 'L' represents the non-printable HEX 0A for positions 21 and 22, respectively.

CM,02,2005,PA055SP

POSITION	VALUE	FIELD
1-2	CM	RECORD TYPE
3	,	FIELD DELIMITER
4-5	02	MONTH
6	,	FIELD DELIMITER
7-10	2005	YEAR
11	,	FIELD DELIMITER
12-20	PA055SP	ORI NUMBER
21	C	CARRIAGE RETURN
22	L	LINE FEED

3.7   REQUEST IBR MONTHLY SUBMISSION STATUS RECORD

The PAUCR system uses the Request IBR Monthly Submission Status record to return a list of Incidents, Group B Arrests and associated Status for the selected month, year and jurisdiction. This record must immediately follow the Transmitting User record (TU) in the file that is Transmitted to the PAUCR system. Multiple Request IBR Monthly Submission Status records (RM) for a single Jurisdiction may be included in a transmitted file. If a Request IBR Monthly Submission Status records (RM) is included in a transmitted file no other record type, with the exception of a Transmitting User record (TU), may be included in the transmitted file. Section 3.7.1 details the format for the Transmitting User record and section 3.7.2 has an example of a Transmitting User record.

3.7.1   REQUEST IBR MONTHLY SUBMISSION STATUS RECORD FORMAT

FIELD	POSITION	SIZE	DATA	COMMENT
RECORD TYPE	1	2	CHARACTER	"RM"
FIELD DELIMITER	3	1	CHARACTER	COMMA
SUBMISSION MONTH	4	2	CHARACTER	MONTH
FIELD DELIMITER	6	1	CHARACTER	COMMA
SUBMISSION YEAR	7	4	CHARACTER	YEAR
FIELD DELIMITER	11	1	CHARACTER	COMMA
ORI NUMBER	12	9	CHARACTER	ORI NUMBER
CARRIAGE RETURN	21	1	CHARACTER	HEX OD
LINE FEED	22	1	CHARACTER	HEX OA

- The Carriage Return and the Line Feed indicate the end of the record.

3.7.2   REQUEST IBR MONTHLY SUBMISSION STATUS RECORD EXAMPLE

In this example the letter 'C' represents the non-printable HEX 0D and 'L' represents the non-printable HEX 0A for positions 14 and 15, respectively.

RM,02,2005,PA055SP

POSITION	VALUE	FIELD
1-2	RM	RECORD TYPE
3	,	FIELD DELIMITER
4-5	02	MONTH
6	,	FIELD DELIMITER
7-10	2005	YEAR
11	,	FIELD DELIMITER
12-20	PA055SP	ORI NUMBER
21	C	CARRIAGE RETURN
22	L	LINE FEED

3.8   DETAILED EXAMPLES OF TRANSMITTED FILES
The following subsections contain examples of correctly formatted and sequenced records for Transmitted files. The examples cover basic submissions intended to demonstrate the proper placement of the special record (TU) required within Transmitted files. Most "real world" submissions will contain more data and more record types. The examples do not show the non-display **HEX characters 0D0A that must be at the end of every record**, including the record group terminator records (the "[" for Summary and the "]]" for Incident-Based). Failure to include the HEX 0D0A at the end of every record will result in file length errors.

3.8.1 SUMMARY TRANSMITTED FILE EXAMPLE

This example is for an Transmitted file of Summary data for a jurisdiction. These records are in a file named 4640502.UCR. The first record is the TU record which associates the file with authorized system user 'UCR4640501'.

```
TU,UCR4640501
A0,46405,02,02,AU
04B,2,,,,,,
04D,2,,1,,,,
000,,,,,,,
[
B1,46405,02,02,ZR
[
B2,46405,02,02,C3
040,M,,,,,,,1,,,,,,,,,1,,,,,1
[
B3,46405,02,02,C4
060,M,,,1,,,,1,,,,1,
170,F,,,1,,,,1,,,,1,
[
B4,46405,02,02,AU
040,F,,,,,,,,,1,,,,1,,,,1,1,,,2,
[
C0,46405,02,02,AU
040,1,,1,,1,
[
D1,46405,02,02,ZR
[
D2,46405,02,02,ZR
[
E0,46405,02,02,ZR
[
F0,46405,02,02,ZR
[
G0,46405,02,02,ZR
[
H0,46405,02,02,AU
1,9,13,5,2,3,68
[
J0,46405,02,02,AU
001,12389A,1998/02/02,23:10,1998/02/03,01:23,01,2,11111,01,3701
A1II,03A,01,25,01,01,I,45,50,F,W,N,MU,B,L,U,N,T,01,01,00,,U,U,U,U,N,14,N,14,Y,12,N
[
```

3.8.2 SUMMARY AUTHORIZED JURISDICTION TRANSMITTED FILE EXAMPLE

This example is for an Transmitted Authorized Jurisdiction file of Summary data for jurisdictions 46405 and 23111 submitted by jurisdiction 46405. These records are in a file named for jurisdiction 46405 (e.g. 4640502.MCR). The first two records (the A0 record and the "[" record) are related to jurisdiction 23111. The rest of the records are related to jurisdiction 46405. When this file is successfully processed, two files named 2311102.UCR and 4640502.UCR will be split out and processed. The first record is the TU record which associates the file with authorized system user 'UCR4640501'.

```
TU,UCR4640501
A0,23111,02,02,ZS
 [
A0,46405,02,02,AU
04B,2,,,,,,
04D,2,,1,,,,
000,,,,,,,
 [
B1,46405,02,02,ZR
 [
B2,46405,02,02,C3
040,M,,,,,,,,1,,,,,,,,,,1,,,,,1
 [
B3,46405,02,02,C4
060,M,,,1,,,,1,,,,1,
170,F,,,1,,,,1,,,,1,
 [
B4,46405,02,02,AU
040,F,,,,,,,,,1,,,,1,,,,1,1,,,,2,
 [
C0,46405,02,02,AU
040,1,,1,,1,
 [
D1,46405,02,02,ZR
 [
D2,46405,02,02,ZR
 [
E0,46405,02,02,ZR
 [
F0,46405,02,02,ZR
 [
G0,46405,02,02,ZR
 [
H0,46405,02,02,AU
1,9,13,5,2,3,68
 [
J0,46405,02,02,AU
001,12389A,1998/02/02,23:10,1998/02/03,01:23,01,2,11111,01,3701
A1II,03A,01,25,01,01,I,45,50,F,W,N,MU,B,L,U,N,T,01,01,00,,U,U,U,U,N,14,N,14,Y,12,N
[
```

3.8.3 INCIDENT-BASED TRANSMITTED FILE EXAMPLE

This example is for an Transmitted file of Incident-based data for a jurisdiction. These records are in a file named 0100162I.UCR. The first record is the TU record which associates the file with authorized system user 'UCR0100101'.

```
TU,UCR0100101
1A,A,12,2002,PA00101  ,RJ1234INC001,12/1/2002,12:01,12/2/2002,N,21,404,N,
2A,A,12,2002,PA00101  ,RJ1234INC001,3502 A,220,C,20,0,F,88
2B,A,12,2002,PA00101  ,RJ1234INC001,3502 A,220,N
3A,A,12,2002,PA00101  ,RJ1234INC001,0, ,, , , ,
4A,A,12,2002,PA00101  ,RJ1234INC001,1,I,40,,M,W,N,R,P, ,,,
4D,A,12,2002,PA00101  ,RJ1234INC001,1,3502 A,220
5A,A,12,2002,PA00101  ,RJ1234INC001,7,0,0
5C,A,12,2002,PA00101  ,RJ1234INC001,7,3502 A,220,1,17,5,37000,
5A,A,12,2002,PA00101  ,RJ1234INC001,5,0,0
5C,A,12,2002,PA00101  ,RJ1234INC001,5,3502 A,220,1,17,1,2000,12/12/2002
5C,A,12,2002,PA00101  ,RJ1234INC001,5,3502 A,220,1,17,1,3300,12/22/2002
]]
```

3.8.4 INCIDENT-BASED AUTHORIZED JURISDICTION TRANSMITTED FILE EXAMPLE

This example is for an Transmitted Authorized Jurisdiction file of Incident-based data for jurisdictions 01203 and 01001 submitted by jurisdiction 01203. These records are in a file named 0120362I.UCR. These records would be in a file named for jurisdiction 01203 (e.g. 0120362I.MCR). The first group of records (records 2 through 8) is related to jurisdiction 01203. The next group of records (records 10 through 21) is related to jurisdiction 01001. Notice that a record group terminator record (i.e. "]]") is not to follow the TU or CB records. When this file is successfully processed, two files named 0120301I.UCR and 0100101I.UCR will be split out and processed. The first record is the TU record which associates the file with authorized system user 'UCR0120301'.

```
TU,UCR0120301
CB,01203,01
1B,D,11,2002,PA00103,RJ1234ARR002
]]
1T,A,01,2002,PA00103  ,RJ1234T00001
6T,A,01,2002,PA00103  ,RJ1234T00001,RJ1234A0001,1,01/23/2002,T,N,3921
A,240,01,N,,,29,,M,B,N,R,,N
2T,A,01,2002,PA00103  ,RJ1234T00001,2502 A,09A
]]
CB,01001,01
1A,A,12,2002,PA00101  ,RJ1234INC001,12/1/2002,12:01,12/2/2002,N,21,404,N,
2A,A,12,2002,PA00101  ,RJ1234INC001,3502 A,220,C,20,0,F,88
2B,A,12,2002,PA00101  ,RJ1234INC001,3502 A,220,N
3A,A,12,2002,PA00101  ,RJ1234INC001,0, ,, ,, ,
4A,A,12,2002,PA00101  ,RJ1234INC001,1,I,40,,M,W,N,R,P, ,,,
4D,A,12,2002,PA00101  ,RJ1234INC001,1,3502 A,220
5A,A,12,2002,PA00101  ,RJ1234INC001,7,0,0
5C,A,12,2002,PA00101  ,RJ1234INC001,7,3502 A,220,1,17,5,37000,
5A,A,12,2002,PA00101  ,RJ1234INC001,5,0,0
5C,A,12,2002,PA00101  ,RJ1234INC001,5,3502 A,220,1,17,1,2000,12/12/2002
5C,A,12,2002,PA00101  ,RJ1234INC001,5,3502 A,220,1,17,1,3300,12/22/2002
]]
```

3.8.5 INCIDENT-BASED COMPLETE MONTHLY SUBMISSION TRANSMITTED FILE EXAMPLE

This example is for a Transmitted file of Incident-based data for a jurisdiction containing a Complete Monthly Submission record. These records are in a file named 0120362I.UCR. The first record is the TU record which associates the file with authorized system user 'UCR0120301'.

```
TU,UCR0120301
CM,02,2005,PA00103
```

3.8.6 INCIDENT-BASED REQUEST MONTHLY SUBMISSION STATUS TRANSMITTED FILE EXAMPLE

This example is for a Transmitted file of Incident-based data for a jurisdiction Request Monthly Submission Status record. These records are in a file named 0120362I.UCR. The first record is the TU record which associates the file with authorized system user 'UCR0120301'.

```
TU,UCR0120301
RM,02,2005,PA00103
```

4   RESULTS FILES FOR TRANSMITTED FILES

4.1   RESULTS FILES NAMING CONVENTION

Results file names are derived from the Extended file name of the Transmitted file by replacing the file extension "UCR" with "RCR".

Examples:

A file named **05G0201I.UCR** Transmitted by a user with UserId **ucr05g0201** on **September 2, 2002** at **12:39:19** would have an Extended file name of **ucr05g0201@2002-09-02@123919@05G0201I.ucr** and a Results file name of **ucr05g0201@2002-09-02@123919@05G0201I.rcr**

A file named **0243308.UCR** Transmitted by a user with UserId **ronsum** (less than 10 characters so UserId padded with spaces) on **October 12, 2002** at **12:40:03** would have an Extended file name of **ronsum   @2002-10-12@124003@0243308.ucr** and a Results file name of **ronsum   @2002-10-12@124003@0243308.rcr**

See "Summary Files Upload Specification" and "PA IBR File Upload Specifications" for more information on upload and extended filenames.

COMMONWEALTH OF PENNSYLVANIA
PENNSYLVANIA STATE POLICE
TRANSMITTED FILE REQUIREMENTS

4.2   RESULTS FILES RECORD DESCRIPTIONS

4.2.1   FILE STATUS RECORD

FIELD	FIELD POSITION	COMMENT
RECORD TYPE	1	"F"
FIELD DELIMITER	2	"|"
FILE NAME	3	FTP UPLOAD FILE NAME
FIELD DELIMITER	4	"|"
FILE DATE	5	FTP UPLOAD FILE DATE (MM-DD-YYYY)
FIELD DELIMITER	6	"|"
FILE TIME	7	FTP UPLOAD FILE TIME (HH:MM:SS)
FIELD DELIMITER	8	"|"
FILE STATUS	9	"0" OR "1"
CARRIAGE RETURN	10	HEX OD
LINE FEED	11	HEX OA

- The Carriage Return and the Line Feed indicate the end of the record.
- File Status "0" – NO Errors in file, "1" – Errors in file

4.2.2   IBR INCIDENT STATUS RECORD (INCIDENT-BASED SUBMISSIONS ONLY)

FIELD	FIELD POSITION	COMMENT
RECORD TYPE	1	"I"
FIELD DELIMITER	2	"|"
ORI NUMBER	3	ORI NUMBER
FIELD DELIMITER	4	"|"
INCIDENT NUMBER	5	INCIDENT NUMBER
FIELD DELIMITER	6	"|"
INCIDENT STATUS	7	"0" OR "1"
CARRIAGE RETURN	8	HEX OD
LINE FEED	9	HEX OA

- The Carriage Return and the Line Feed indicate the end of the record.
- Incident Status Status "0" – NO Errors in Incident, "1" – Errors in Incident

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Transmitted File Specifications   (Version 1.1)   4/7/05

4.2.3   SUMMARY JMS STATUS RECORD (SUMMARY SUBMISSIONS ONLY)

FIELD	FIELD POSITION	COMMENT
RECORD TYPE	1	"S"
FIELD DELIMITER	2	"|"
ORI NUMBER	3	ORI NUMBER
FIELD DELIMITER	4	"|"
JURIS MONTH YEAR	5	JURIS SUBMISSION MONTH YEAR (MM-YYYY)
FIELD DELIMITER	6	"|"
JMS STATUS	7	"0" OR "1"
CARRIAGE RETURN	8	HEX OD
LINE FEED	9	HEX OA

- The Carriage Return and the Line Feed indicate the end of the record.
- JMS Status Status "0" – NO Errors in JMS, "1" – Errors in JMS

4.2.4   FORMAT ERROR RECORD

FIELD	FIELD POSITION	COMMENT
RECORD TYPE	1	"E"
FIELD DELIMITER	2	"|"
ERROR NUMBER	3	ERROR NUMBER
FIELD DELIMITER	4	"|"
ERROR DESCRIPTION	5	ERROR DESCRIPTION
FIELD DELIMITER	6	"|"
RECORD NUMBER	7	RECORD NUMBER IN ERROR
FIELD DELIMITER	8	"|"
ERROR DETAIL	9	ERROR DETAIL
CARRIAGE RETURN	10	HEX OD
LINE FEED	11	HEX OA

- The Carriage Return and the Line Feed indicate the end of the record.

4.2.5 IBR VALIDATION ERROR RECORD (INCIDENT-BASED SUBMISSIONS ONLY)

FIELD	FIELD POSITION	COMMENT
RECORD TYPE	1	"V"
FIELD DELIMITER	2	"|"
ORI NUMBER	3	ORI NUMBER
FIELD DELIMITER	4	"|"
INCIDENT NUMBER	5	INCIDENT NUMBER
FIELD DELIMITER	6	"|"
ERROR NUMBER	7	ERROR NUMBER
FIELD DELIMITER	8	"|"
ERROR DESCRIPTION	9	ERROR DESCRIPTION
FIELD DELIMITER	10	"|"
ERROR DETAIL	11	ERROR DETAIL
CARRIAGE RETURN	12	HEX OD
LINE FEED	13	HEX OA

- The Carriage Return and the Line Feed indicate the end of the record.

4.2.6 SUMMARY VALIDATION ERROR RECORD (SUMMARY SUBMISSIONS ONLY)

FIELD	FIELD POSITION	COMMENT
RECORD TYPE	1	"V"
FIELD DELIMITER	2	"|"
ORI NUMBER	3	ORI NUMBER
FIELD DELIMITER	4	"|"
JURIS MONTH YEAR	5	JURIS SUBMISSION MONTH YEAR (MM-YYYY)
FIELD DELIMITER	6	"|"
ERROR NUMBER	7	ERROR NUMBER
FIELD DELIMITER	8	"|"
ERROR DESCRIPTION	9	ERROR DESCRIPTION
FIELD DELIMITER	10	"|"
ERROR DETAIL	11	ERROR DETAIL
CARRIAGE RETURN	12	HEX OD
LINE FEED	13	HEX OA

- The Carriage Return and the Line Feed indicate the end of the record.

4.2.7   FTP ERROR RECORD

FIELD	FIELD POSITION	COMMENT
RECORD TYPE	1	"U"
FIELD DELIMITER	2	"|"
ERROR NUMBER	3	ERROR NUMBER
FIELD DELIMITER	4	"|"
ERROR DESCRIPTION	5	ERROR DESCRIPTION
FIELD DELIMITER	6	"|"
RECORD NUMBER	7	RECORD NUMBER IN ERROR
FIELD DELIMITER	8	"|"
ERROR DETAIL	9	ERROR DETAIL
CARRIAGE RETURN	10	HEX OD
LINE FEED	11	HEX OA

- The Carriage Return and the Line Feed indicate the end of the record.

4.2.8   FILE SPLITTER ERROR RECORD

FIELD	FIELD POSITION	COMMENT
RECORD TYPE	1	"X"
FIELD DELIMITER	2	"|"
ERROR NUMBER	3	ERROR NUMBER
FIELD DELIMITER	4	"|"
ERROR DESCRIPTION	5	ERROR DESCRIPTION
FIELD DELIMITER	6	"|"
RECORD NUMBER	7	RECORD NUMBER IN ERROR
FIELD DELIMITER	8	"|"
ERROR DETAIL	9	ERROR DETAIL
CARRIAGE RETURN	10	HEX OD
LINE FEED	11	HEX OA

- The Carriage Return and the Line Feed indicate the end of the record.

4.2.9   IBR MONTHLY SUBMISSION STATUS RECORD (INCIDENT-BASED SUBMISSIONS ONLY)

FIELD	FIELD POSITION	COMMENT
RECORD TYPE	1	"M"
FIELD DELIMITER	2	"|"
ORI NUMBER	3	ORI NUMBER
FIELD DELIMITER	4	"|"
MONTH	5	MONTH
FIELD DELIMITER	6	"|"
MONTH	7	YEAR
FIELD DELIMITER	8	"|"
SUBMISSION STATUS	9	"0" OR "1"
CARRIAGE RETURN	10	HEX OD
LINE FEED	11	HEX OA

- The Carriage Return and the Line Feed indicate the end of the record.
- Submission Status "0" – Complete, "1" – Incomplete

4.2.10 IBR ARRESTEE STATUS RECORD (INCIDENT-BASED SUBMISSIONS ONLY)

FIELD	FIELD POSITION	COMMENT
RECORD TYPE	1	"A"
FIELD DELIMITER	2	"|"
ORI NUMBER	3	ORI NUMBER
FIELD DELIMITER	4	"|"
MONTH	5	ARREST TRANSACTION NUMBER
FIELD DELIMITER	6	"|"
SUBMISSION STATUS	9	"0" OR "1"
CARRIAGE RETURN	10	HEX OD
LINE FEED	11	HEX OA

- The Carriage Return and the Line Feed indicate the end of the record.
- Submission Status "0" – Complete, "1" – Incomplete

COMMONWEALTH OF PENNSYLVANIA
PENNSYLVANIA STATE POLICE
TRANSMITTED FILE REQUIREMENTS

4.2.11 IBR TIME WINDOW STATUS RECORD (INCIDENT-BASED SUBMISSIONS ONLY)

FIELD	FIELD POSITION	COMMENT
RECORD TYPE	1	"T"
FIELD DELIMITER	2	"|"
ORI NUMBER	3	ORI NUMBER
FIELD DELIMITER	4	"|"
MONTH	5	INCIDENT NUMBER
FIELD DELIMITER	6	"|"
SUBMISSION STATUS	9	"0" OR "1"
CARRIAGE RETURN	10	HEX OD
LINE FEED	11	HEX OA

- The Carriage Return and the Line Feed indicate the end of the record.
- Submission Status "0" – Complete, "1" – Incomplete

4.3   RESULTS FILES EXAMPLES

The following subsections contain examples of Transmitted Results files. The examples do not show the non-display **HEX characters 0D0A at the end of every record**. Text that is highlighted in green marks text word wrap to fit this document. In an actual Transmitted Results file this text would appear at the end of the previous line.

4.3.1 FTP ERROR RECORD RESULTS FILES EXAMPLE

This example is for a Transmitted Results file with FTP Error Records. FTP Errors are the most basic errors - Invalid File Name, Invalid Transmitting User (TU) record, Invalid PAUCR UserID.

Example 1 (Results File Name - Unknown   @2004-10-12@103446@05G0254i.RCR):

F|05G0254i.ucr|10-12-2004|10:34:46|1
U|1602|FTP Upload: Record Length For The First Record Is Invalid.|Rec#=0|Segment Count Less Then Two.

Example 2 (Results File Name - Unknown   @2004-10-12@103447@05G0251ixx.RCR):

F|05G0251ixx.ucr|10-12-2004|10:34:47|1
U|1601|FTP Upload: File Name Is Invalid.|Rec#=0|File Name Format is jjjjjssi.eee - Juris Code + Sequence Number + Letter I (if Incident-based, omit for Summary) + Period + File Extention.

Example 3 (Results File Name - Unknown   @2004-10-12@103447@05G0252i.RCR):

F|05G0252i.xyz|10-12-2004|10:34:47|1
U|1601|FTP Upload: File Name Is Invalid.|Rec#=0|File Extension Must Be MCR (Multiple Juris Submission) or UCR (Single Juris Submission).

Example 4 (Results File Name - Unknown   @2004-10-12@103447@05G0253i.RCR):

F|05G0253i.ucr|10-12-2004|10:34:47|1
U|1603|FTP Upload: Transmitting User Record Is Invalid.|Rec#=1|First Record Not A Transmitting User Record (TU).

Example 5 (Results File Name - billybob @2004-10-12@103446@05G0255i.RCR):

F|05G0255i.ucr|10-12-2004|10:34:46|1
U|1603|FTP Upload: Transmitting User Record Is Invalid.|Rec#=1|UserID: billybob Not Found In PAUCR User Profile Table.

4.3.2   FILE SPLITTER ERROR RESULTS FILES EXAMPLE

This example is for a Transmitted Results file with File Splitter Error Records. See "Authorized Jurisdiction Submission Specifications" document for more information on this subject.

Results File Name - ucr05g0201@2004-10-12@104059@05G0257i.RCR

F|05G0257i.mcr|10-12-2004|10:40:59|1
X|5521|Unknown type of record - Invalid Return Code/ Line Number or Record Identifier|Rec# = 2|Rec# = 2, First record not IBR Control Break CB.
Record = 1A,D,03,2003,PA005SP,CCR5871001,3/1/2003,00:01,3/01/2003,N,05,201,N,

4.3.3   INCIDENT-BASED FORMAT AND VALIDATION ERROR RESULTS FILES EXAMPLE

This example is for an Incident-Based Transmitted Results file with Format and Validation Error Records. The Transmitted file contained four (4) Incidents. Three Incidents (CCR5871001, CCR5871002 and CCR5871004) processed without error. One Incident (CCR5871003) received Format Errors and Validation Errors. It should be noted that if you receive Format Errors on an IBR Administrative record (1A, 1B, 1T) you will **NOT** receive an IBR Incident Status record (ex: I|PA005SP |CCR5871003 |1) in the Results File. Results File Name - ucr05g0201@2004-10-12@101031@05G0203i.RCR

```
F|05G0203i.ucr|10-12-2004|10:10:31|1
I|PA005SP |CCR5871001 |0
I|PA005SP |CCR5871002 |0
I|PA005SP |CCR5871003 |1
I|PA005SP |CCR5871004 |0
E|3215|Invalid Age Type|Rec# = 33|Rec# = 33, Victim Incident Number = CCR5871003, Victim Sequence Number = 1
E|3094|Victim: Invalid Activity Type|Rec# = 33|Rec# = 33, Victim Incident Number = CCR5871003, Victim Sequence Number = 1 cannot have Activity Type for Victim Type = G
E|3095|Victim: Invalid Assignment Type|Rec# = 33|Rec# = 33, Victim Incident Number = CCR5871003, Victim Sequence Number = 1 cannot have Assignment Type for Victim Type = G
E|3093|Victim: Invalid ORI Other Jurisdiction|Rec# = 33|Rec# = 33, Victim Incident Number = CCR5871003, Victim Sequence Number = 1 cannot have ORI Other Jurisdiction for Victim Type = G
E|3066|Victim: Xref record not able to be created, Victim record not found|Rec# = 34|Rec# = 34, Incident Num = CCR5871003, Victim Seq# = 1, Injury Type = L
E|3066|Victim: Xref record not able to be created, Victim record not found|Rec# = 35|Rec# = 35, Incident Num = CCR5871003, Victim Seq# = 1, Statute/Offense = 2702 A3/13B
E|3066|Victim: Xref record not able to be created, Victim record not found|Rec# = 36|Rec# = 36, Incident Num = CCR5871003, Victim Seq# = 1, Offender Seq# = 1
V|PA005SP |CCR5871003 |3065|Each Offense must have at least one Victim associated to it.|NIBRS Code = 13B
```

4.3.4   INCIDENT-BASED VALIDATION ERROR RESULTS FILES EXAMPLE

This example is for an Incident-Based Transmitted Results file with Validation Error Records. The Transmitted file contained two (2) Incidents. Both Incidents (A00000124601 and A00000124602) received Validation Errors.

Results File Name - davej   @2004-10-12@101031@9913008i.RCR

F|9913008i.ucr|10-12-2004|10:10:31|1
I|CNETORI1 |A00000124601|1
I|CNETORI1 |A00000124602|1
V|CNETORI1 |A00000124601|3362|When the Suspected Drug Type is Over Three Drug Types, two other Suspected Drug Types must be entered for the Property.|Drug Type = X ; Drug Type not on record 3. Record = 1
V|CNETORI1 |A00000124601|3733|The Type of Victim must be Individual or Law Enforcement Officer to have offenders related to this victim.|Victim Number = 1 ;Type of Victim = Society/Public
V|CNETORI1 |A00000124601|3734|When there are Offenders related to this victim, then the Offense codes must be 09A-09C, 100, 11A-11D, 120, 13A-13C, 36A or 36B.|Victim Number = 1
V|CNETORI1 |A00000124602|3362|When the Suspected Drug Type is Over Three Drug Types, two other Suspected Drug Types must be entered for the Property.|Drug Type = X ; Drug Type not on record 3. Record = 1
V|CNETORI1 |A00000124602|3363|When the Suspected Drug Type is Over Three Drug Types, the Est. Drug Quan. and Type Drug Measurement must not be entered.|Drug Type = X ;Drug Measurement = LB ;Drug Quantity = 1
V|CNETORI1 |A00000124602|3377|The Estimated Drug Quantity cannot be entered when one of the other Suspected Drug Types is Over Three Drug Types. Leave as 0.|Property Loss Type = None ;Suspected Drug Type = Over Three Drug Types
V|CNETORI1 |A00000124602|3733|The Type of Victim must be Individual or Law Enforcement Officer to have offenders related to this victim.|Victim Number = 1 ;Type of Victim = Society/Public
V|CNETORI1 |A00000124602|3734|When there are Offenders related to this victim, then the Offense codes must be 09A-09C, 100, 11A-11D, 120, 13A-13C, 36A or 36B.|Victim Number = 1

4.3.5   INCIDENT-BASED NO ERRORS RESULTS FILES EXAMPLE

This example is for an Incident-Based Transmitted Results file with NO Error Records. The Transmitted file contained one (1) Incident. The Incident (CCR5871001) processed without error.

Results File Name - ucr05g0201@2004-10-12@101031@05G0203i.RCR

F|05G0203i.ucr|10-12-2004|10:10:31|0
I|PA005SP |CCR5871001 |0

4.3.6   INCIDENT-BASED COMPLETE IBR MONTHLY SUBMISSION (SUCCEDED) RESULTS FILES EXAMPLE
This example is for an Incident-Based Transmitted Results file with NO Error Records. The Transmitted file contained one (1) Complete IBR Monthly Submission record. The Complete IBR Monthly Submission record processed succeeded.
Results File Name - ucr05g0201@2004-10-12@101031@05G0203i.RCR

F|05G0203i.ucr|10-12-2004|10:10:31|0
M|PA005SP |02|2005|0

4.3.7   INCIDENT-BASED COMPLETE IBR MONTHLY SUBMISSION (FAILED) RESULTS FILES EXAMPLE
This example is for an Incident-Based Transmitted Results file with Error Records. The Transmitted file contained one (1) Complete IBR Monthly Submission record. The Complete IBR Monthly Submission record processed failed.
Results File Name - ucr05g0201@2004-10-12@101031@05G0203i.RCR

F|05G0203i.ucr|10-12-2004|10:10:31|0
M|PA005SP |02|2005|1
I|PA005SP |CCR5871005 |1
I|PA005SP |CCR5871010 |1
U|1604|FTP Upload: Complete IBR Monthly Submission Failed.|Rec#=2|Incomplete Incident(s) Found.

4.3.8   INCIDENT-BASED REQUEST IBR MONTHLY SUBMISSION STATUS (COMPLETE) RESULTS FILES EXAMPLE

This example is for an Incident-Based Transmitted Results file with NO Error Records. The Transmitted file contained one (1) Request IBR Monthly Submission Status record. The Request IBR Monthly Submission Status record processed a month with a status of COMPLETE.

Results File Name - ucr05g0201@2004-10-12@101031@05G0203i.RCR

```
F|05G0203i.ucr|10-12-2004|10:10:31|0
M|PA005SP  |02|2005|0
I|PA005SP  |CCR5871001  |0
I|PA005SP  |CCR5871002  |0
I|PA005SP  |CCR5871003  |0
I|PA005SP  |CCR5871004  |0
I|PA005SP  |CCR5871005  |0
I|PA005SP  |CCR5871006  |0
I|PA005SP  |CCR5871007  |0
I|PA005SP  |CCR5871008  |0
I|PA005SP  |CCR5871009  |0
I|PA005SP  |CCR5871010  |0
I|PA005SP  |CCR5871011  |0
I|PA005SP  |CCR5871012  |0
```

4.3.9   INCIDENT-BASED REQUEST IBR MONTHLY SUBMISSION STATUS (INCOMPLETE) RESULTS FILES EXAMPLE

This example is for an Incident-Based Transmitted Results file with Error Records. The Transmitted file contained one (1) Request IBR Monthly Submission Status record. The Request IBR Monthly Submission Status record processed a month with a status of INCOMPLETE.

Results File Name - ucr05g0201@2004-10-12@101031@05G0203i.RCR

```
F|05G0203i.ucr|10-12-2004|10:10:31|0
M|PA005SP  |02|2005|1
I|PA005SP  |CCR5871001  |0
I|PA005SP  |CCR5871002  |0
I|PA005SP  |CCR5871003  |0
I|PA005SP  |CCR5871004  |0
I|PA005SP  |CCR5871005  |1
I|PA005SP  |CCR5871006  |0
I|PA005SP  |CCR5871007  |0
I|PA005SP  |CCR5871008  |0
I|PA005SP  |CCR5871009  |0
I|PA005SP  |CCR5871010  |1
I|PA005SP  |CCR5871011  |0
I|PA005SP  |CCR5871012  |0
```

4.3.10 SUMMARY FORMAT ERRORS RESULTS FILES EXAMPLE

This example is for a Summary Transmitted Results file with Format Error Records. The Transmitted file contained one (1) Juris Monthy Submission. The Juris Monthy Submission (Juris: 22301, ORI: PA02202, Month/Year 06-2004) received Validation errors. It should be noted that if you receive Format Errors on a Summary Juris Monthly Submission you will **NOT** receive a Summary JMS Status record (ex: S|PA02202 |06-2004|1) in the Results File.
Results File Name - UCR2230101@2004-10-14@085201@2230106.RCR

F|2230106.UCR|10-14-2004|08:52:01|1
E|1400|Invalid record length|Rec# = 21|Rec# = 21, Return A Line Number/UCR Code = 100
E|1400|Invalid record length|Rec# = 58|Rec# = 58, Return B1 Line Number/UCR Code = 230
E|1400|Invalid record length|Rec# = 85|Rec# = 85, Return B2 Line Number/UCR Code = 140

4.3.11 SUMMARY VALIDATION ERRORS RESULTS FILES EXAMPLE

This example is for a Summary Transmitted Results file with NO Error Records. The Transmitted file contained one (1) Juris Monthy Submission. The Juris Monthy Submission (Juris: 22301, ORI: PA02202, Month/Year 07-2004) received Validation errors. Results File Name - UCR2230101@2004-10-12@101031@2230107.RCR

```
F|2230107.UCR|10-12-2004|10:10:31|1
S|PA02202  |07-2004|1
V|PA02202  |07-2004|5700|Required data not provided and Zero Return not specified|Juvenile Arrests (B1) requires data or be a Zero Return
V|PA02202  |07-2004|5700|Required data not provided and Zero Return not specified|Adult Arrests (B2) requires data or be a Zero Return
V|PA02202  |07-2004|5700|Required data not provided and Zero Return not specified|Juvenile Victims (B3) requires data or be a Zero Return
V|PA02202  |07-2004|5700|Required data not provided and Zero Return not specified|Ethnic Intimidation/Hate Crime (J) requires data or be a Zero Return
V|PA02202  |07-2004|2415|E3 Homicide: Sum of Murder/Non-negligent Manslaughter victims not equal to Return A Murder Actual Offenses + Murder
Unfounded|Failed Edit E.3
V|PA02202  |07-2004|2350|F8 LEOKA: Sum of Total Assaults By Weapon not equal to sum of Return A Assaults|Failed Edit F.8
V|PA02202  |07-2004|2351|F9 LEOKA: Sum of Total Assaults With Injury + Total Assaults Without Injury not equal sum of Return A Assaults|Failed Edit F.9
V|PA02202  |07-2004|2352|F10 LEOKA: Sum of Assaults By Time not equal to sum of Return A Assaults|Failed Edit F10
V|PA02202  |07-2004|2364|G13 Arson: Sum of Arson Actual Offenses not equal to Return A Arson Actual Offenses|Failed Edit G13
V|PA02202  |07-2004|2365|G14 Arson: Sum of Arson Cleared Offenses not equal to Return A Arson Cleared Offenses|Failed Edit G14
```

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4.3.12 SUMMARY NO ERRORS RESULTS FILES EXAMPLE

This example is for a Summary FTP Upload Results file with NO Error Records. The FTP Upload file contained one (1) Juris Monthy Submission. The Juris Monthy Submission (Juris: 22301, ORI: PA02202, Month/Year 08-2004) processed without error. Results File Name - UCR2230101@2004-10-12@101031@2230108.RCR

F|2230108.UCR|10-12-2004|10:10:31|0
S|PA02202 |08-2004|0